



Audit & Governance Committee

2024 - 2025

Functions of the Committee:

Those functions conferred on the Council in relation to Audit and Governance matters including Audit Activity, Regulatory Framework and Accounts.

To advise the council on the adoption or revision of its Code of Conduct.

To monitor and advise the council about the operation of its Code of Conduct in the light of best practice and in terms of the Localism Act 2011. To assist members and co-opted members of the authority.

To ensure that all members of the Council have access to training in all aspects of the member Code of Conduct, that this training is actively promoted, and that members are aware of the standards expected from local councillors under the Code.

Other functions:

Functions relating to standards of conduct of members under any relevant provision of, or regulations made under, the Localism Act 2011 including complaints against members and co-opted members of the Council for breach of the Code of Conduct; and the granting of dispensations pursuant to section 33, Localism Act 2011.

The Council's complaints procedure.

Membership: Councillors: C Adams (Chair), A Wells (Vice Chair), C Bain, M Couchman, S Doyle, J Oates, P Turner,

ROLLING AUDIT & GOVERNANCE TIMETABLE OF PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE

2024/25 Year

Note: Audit & Governance Committee training is planned for 4th June 2024, additional training will be provided to Committee members during the year (dates to be agreed).

	Report	Committee Date	Report of	Comments
1.	Draft Internal Audit Plan 2025/26	19 th March 2025	Interim Audit Manager	
2.	Audit Committee Effectiveness	19 th March 2025	Interim Audit Manager	
3.	Update on Global Internal Audit Standards	19 th March 2025	Interim Audit Manager	
4.	Final Accounts – Accounting Policies and Action Plan	19 th March 2025	Interim Executive Director Finance	
5.	Review of Financial Guidance	19 th March 2025	Interim Executive Director Finance	
6.	Introduction of Bishop Fleming as Council's External Auditors	19 th March 2025	Bishop Fleming	
7.	Future High Street Fund Risk Report	19 th March 2025	Assistant Director, Growth & Regeneration	

8.	Recruitment of Independent Person	19 th March 2025	Monitoring Officer	
8.	Private meeting of Internal and External Auditors and Committee members	19 th March 2025		
	Report	Committee Date	Report Of	Comments
1.	Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme	15 th April 2025	Interim Audit Manager	
2.	Annual Report of the Chair of Audit & Governance Committee	15 th April 2025	Interim Audit Manager / Chair	
4.	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	15 th April 2025	Interim Executive Director Finance	
5.	Review of the Constitution and Scheme of Delegation for Officers	15 th April 2025	Monitoring Officer	

Portfolio Holder: The Leader of the Council (Operations/Finance/Cost of Living)

Matters Considered

	Report	Committee Date	Report of	Comments
1.	Internal Audit Quarterly Update Q3	5th February 2025	Interim Audit Manager	
2.	Risk Management Quarterly Update	5th February 2025	Interim Executive Director Finance	
3.	Audit Committee Effectiveness	5th February 2025	Interim Audit Manager	
4.	Quarterly update - Financial Waivers	5th February 2025	Interim Executive Director Finance	
5.	Complaints Update	5th February 2025	Assistant Director - People	
6.	Regulation of Standards of Conduct	5th February 2025	Monitoring Officer	
7.	Auditor's Annual Report 2023/24.	5th February 2025	Azets	
1.	Regulatory Investigatory Powers Act 2000 (RIPA) Annual Update	25th September 2024	Assistant Director, Partnerships	
2.	Modern Slavery and Human Trafficking Statement	25th September 2024	Assistant Director – Partnerships	
3.	Local Government Ombudsman's Annual Review and Report 2023/24	25th September 2024	Assistant Director – People	
4.	Internal Audit Quarterly Update Q1	25th September	Audit Manager	

		2024		
5.	Financial Waivers to 30th June 2024	25 th September 2024	Assistant Director – Finance	
6.	Risk Management Quarterly Update	25 th September 2024	Assistant Director – Finance	
7.	Annual Report on The Treasury Management Service and Actual Prudential Indicators 2023/24	25 th September 2024	Executive Director Finance	
8.	Audit & Governance Committee update	25 th September 2024	Azets	
9.	Private meeting of Internal and External Auditors and Committee members	25 th September 2024		
1.	Internal Audit Quarterly Update Q2	13 th November 2024	Audit Manager	
2.	Counter Fraud update	13 th November 2024	Audit Manager	
3.	Outstanding Audit Recommendations	13 th November 2024	Audit Manager	
4.	Risk Management Quarterly Update	13 th November 2024	Assistant Director – Finance	
5.	Quarterly update - Financial Waivers	13 th November 2024	Assistant Director – Finance	
6.	Member Enquiries Update	13 th November 2024	Monitoring Officer	
7.	Annual Statement of Accounts	13 th November 2024	Executive Director Finance	

8.	Audit Findings Report and Management Representation Letter	13 th November 2024	Azets	
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