

## PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2022-2023

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	Audit Plan	June	Grant Thornton	
3	Fee Increase Letter	June	Grant Thornton	
4	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	June	Executive Director Finance	
5	RIPA Annual Report & Review of the RIPA Policy	June	Assistant Director - Partnerships	
6	Internal Audit Annual Report and Quarterly Update	June	Audit Manager	
7	Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme	June	Audit Manager	

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
8	Annual Governance Statement and Code of Corporate Governance	<b>June</b>		
9	Risk Management Quarterly Update	<b>June</b>	Assistant Director – Finance	
10	Councillor Code of Conduct – following finalisation of LGA new Model Code	<b>June</b>	Monitoring Officer	
11	Independent Member update	<b>June</b>	Audit Manager	<i>Verbal update in June</i>
1	Risk Management Quarterly Update	<b>August</b>	Assistant Director – Finance	
2	Internal Audit Quarterly Update	<b>August</b>	Audit Manager	
3	Review of the Constitution and Scheme of Delegation for Officers	<b>August / September (tbc)</b>	Monitoring Officer	<i>Moved from June meeting</i>
	Private meeting of Internal and External Auditors and Committee members	<b>August</b>		
1	Audit Findings	<b>September</b>	Grant Thornton	

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
2	Management Representation Letter	<b>September</b>	Grant Thornton	
3	Annual Statement of Accounts	<b>September</b>	Executive Director Finance	
4	Annual Treasury Outturn	<b>September</b>	Executive Director Finance	
5	Review of Financial Guidance	<b>September</b>	Assistant Director Finance	<i>Moved from June meeting</i>
6	Local Government Ombudsman's Annual Review and Report 2021/22	<b>September</b>	Assistant Director – People	
7	Modern Slavery and Human Trafficking Statement	<b>September</b>	Assistant Director – Partnerships	
1	Internal Audit Quarterly Update	<b>October</b>	Audit Manager	
2	Risk Management Quarterly Update	<b>October</b>	Assistant Director – Finance	
3	Counter Fraud Update	<b>October</b>	Audit Manager	<i>Annual report in October</i>
	Private meeting of Internal and External Auditors and Committee members	<b>October</b>		

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
1	Auditor's Annual Report	<b>February</b>	Grant Thornton	
2	Audit & Governance Committee update	<b>February</b>	Grant Thornton	
3	Fee Increase Letter	<b>February</b>	Grant Thornton	
4	Internal Audit Quarterly Update	<b>February</b>	Audit Manager	
5	Risk Management Quarterly Update	<b>February</b>	Assistant Director – Finance	
6	Audit Committee Effectiveness	<b>February</b>	Audit Manager	
1	Audit and Governance Committee update	<b>March</b>	Grant Thornton	
2	Audit Plan	<b>March</b>	Grant Thornton	
3	Informing the Audit Risk Assessment	<b>March</b>	Grant Thornton	
4	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual	<b>March</b>	Executive Director Finance	

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
	Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report			
5	Final Accounts – Accounting Policies and Action Plan	<b>March</b>	Assistant Director of Finance	
6	Internal Audit Charter and Audit Plan	<b>March</b>	Audit Manager	
7	Councillor Code of Conduct – following finalisation of LGA new Model Code	<b>March</b>	Monitoring Officer	
8	Review of the Constitution and Scheme of Delegation for Officers	<b>March</b>	Monitoring Officer	
9	Review of Financial Guidance	<b>March</b>	Assistant Director Finance	
10	Annual Report of the Chair of A&G	<b>March</b>	Audit Manager / Chair	
	Private meeting of Internal and External Auditors and Committee members	<b>March</b>		

**The Portfolio Holder for Finance, Risk and Customer Services**