

PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2022-2023

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	Audit and Governance Committee update	June	Grant Thornton	
3	Audit Plan	June	Grant Thornton	
4	Fee Increase Letter	June	Grant Thornton	
5	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	June	Executive Director Finance	
6	RIPA Annual Report & Review of the RIPA Policy	June	Assistant Director - Partnerships	
7	Internal Audit Annual Report and Quarterly Update	June	Audit Manager	
8	Public Sector Internal Audit	June	Audit Manager	

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	Standards/Quality Assurance and Improvement Programme			
9	Annual Governance Statement and Code of Corporate Governance	June		
10	Risk Management Quarterly Update	June	Assistant Director – Finance	
11	Councillor Code of Conduct – following finalisation of LGA new Model Code	June	Monitoring Officer	
12	Review of the Constitution and Scheme of Delegation for Officers	June	Monitoring Officer	
13	Review of Financial Guidance	June	Assistant Director Finance	
1	Risk Management Quarterly Update	July / August	Assistant Director – Finance	
2	Internal Audit Quarterly Update	July / August	Audit Manager	

	Report	Committee Date	Report Of	Comments
	Private meeting of Internal and External Auditors and Committee members	July		
1	Audit Findings	September	Grant Thornton	
2	Management Representation Letter	September	Grant Thornton	
3	Annual Statement of Accounts	September	Executive Director Finance	
4	Annual Treasury Outturn	September	Executive Director Finance	
5	Local Government Ombudsman's Annual Review and Report 2021/22	September	Assistant Director – People	
6	Modern Slavery and Human Trafficking Statement	September	Assistant Director – Partnerships	
1	Internal Audit Quarterly Update	October	Audit Manager	
2	Risk Management Quarterly Update	October	Assistant Director – Finance	

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3	Counter Fraud Update	October	Audit Manager	<i>Annual report in October</i>
	Private meeting of Internal and External Auditors and Committee members	October		
1	Auditor's Annual Report	February	Grant Thornton	
2	Audit & Governance Committee update	February	Grant Thornton	
3	Fee Increase Letter	February	Grant Thornton	
4	Internal Audit Quarterly Update	February	Audit Manager	
5	Risk Management Quarterly Update	February	Assistant Director – Finance	
6	Audit Committee Effectiveness	February	Audit Manager	
1	Audit and Governance Committee update	March	Grant Thornton	
2	Audit Plan	March	Grant Thornton	

	Report	Committee Date	Report Of	Comments
3	Informing the Audit Risk Assessment	March	Grant Thornton	
4	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	March	Executive Director Finance	
5	Final Accounts – Accounting Policies and Action Plan	March	Assistant Director of Finance	
6	Internal Audit Charter and Audit Plan	March	Audit Manager	
7	Councillor Code of Conduct – following finalisation of LGA new Model Code	March	Monitoring Officer	
8	Review of the Constitution and Scheme of Delegation for Officers	March	Monitoring Officer	
9	Review of Financial Guidance	March	Assistant Director Finance	
9	Annual Report of the Chair of A&G	March	Audit Manager / Chair	
	Private meeting of Internal and External	March		

	Report	Committee Date	Report Of	Comments
	Auditors and Committee members			

The Portfolio Holder for Finance, Risk and Customer Services