

## PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2021-2022

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	RIPA Annual Report & Review of the RIPA Policy	June	Assistant Director - Partnerships	
3	Internal Audit Annual and Quarterly Update	June	Principal Auditor	
4	Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme	June	Principal Auditor	
5	Annual Governance Statement and Code of Corporate Governance	June		
1	Audit Findings Report	July	Grant Thornton	
2	Management Representation Letter	July	Grant Thornton	
3	Annual Statement of Accounts	July	Executive Director	

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
			Finance	
4	Risk Management Quarterly Update	<b>July</b>	Assistant Director – Finance	
5	Internal Audit Quarterly Update	<b>July</b>	Audit Manager	
6	Update on Risk Based Verification Policy	<b>July</b>	Assistant Director, Finance	
	Private meeting of Internal and External Auditors and Committee members	<b>July</b>		
1	Audit Findings	<b>September</b>	Grant Thornton	
2	Management Representation Letter	<b>September</b>	Grant Thornton	
3	Fee Increase Letter	<b>September</b>	Grant Thornton	<i>Moved from March meeting</i>
4	Annual Treasury Outturn	<b>September</b>	Executive Director Finance	
5	Local Government Ombudsman’s Annual Review and Report 2020/21	<b>September</b>	Assistant Director – People	
6	Modern Slavery and Human Trafficking	<b>September</b>	Assistant Director –	

	Report	Committee Date	Report Of	Comments
	Statement		Partnerships	
1	Internal Audit Quarterly Update	October	Audit Manager	
2	Appointment of External Auditors re Accounts commencing 2023/24	October	Executive Director Finance	
3	Risk Management Quarterly Update	October	Assistant Director – Finance	
4	Counter Fraud Update	October	Audit Manager	<i>Annual report in October</i>
5	Update on the Audit Findings Report'	October	External Auditors	<i>Exempt</i>
	Private meeting of Internal and External Auditors and Committee members	October		
1	Internal Audit Quarterly Update	February	Audit Manager	
2	Risk Management Quarterly Update	February	Assistant Director – Finance	
3	Audit Committee Effectiveness	February	Audit Manager	

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
1	Audit and Governance Committee update	<b>March</b>	Grant Thornton	
2	Auditor's Annual Report	<b>March</b>	Grant Thornton	
3	Audit Plan	<b>March</b>	Grant Thornton	
4	Informing the Audit Risk Assessment	<b>March</b>	Grant Thornton	
5	Fee Increase Letter	<b>March</b>	Grant Thornton	
6	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	<b>March</b>	Executive Director Finance	
7	Final Accounts – Accounting Policies and Action Plan	<b>March</b>	Assistant Director of Finance	
8	Internal Audit Charter and Audit Plan	<b>March</b>	Audit Manager	
9	Councillor Code of Conduct – following finalisation of LGA new Model Code	<b>March</b>	Monitoring Officer	

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
10	Review of the Constitution and Scheme of Delegation for Officers	<b>March</b>	Monitoring Officer	
11	Review of Financial Guidance	<b>March</b>	Assistant Director Finance	
12	Annual Report of the Chair of A&G	<b>March</b>	Audit Manager / Chair	
13	Reset & Recovery – project risks and quality assurance aspects	<b>March</b>	Assistant Director, Neighbourhoods & Assistant Director, Finance	
	Private meeting of Internal and External Auditors and Committee members	<b>March</b>		

### **The Portfolio Holder for Finance, Risk and Customer Services**