

## PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2021-2022

|   | Report   | Committee Date | Report Of                         | Comments              |
|---|--|----------------|-----------------------------------|-----------------------|
| 1 | Role of the Audit Committee  | June           | Grant Thornton                    | Presentation/training |
| 2 | RIPA Annual Report & Review of the RIPA Policy                                     | June           | Assistant Director - Partnerships |                       |
| 3 | Internal Audit Annual and Quarterly Update   | June           | Principal Auditor                 |                       |
| 4 | Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme | June           | Principal Auditor                 |                       |
| 5 | Annual Governance Statement and Code of Corporate Governance                       | June           |                                   |                       |
|   |  |                |                                   |                       |
| 1 | Audit Findings Report  | July           | Grant Thornton                    |                       |
| 2 | Management Representation Letter   | July           | Grant Thornton                    |                       |
| 3 | Annual Statement of Accounts   | July           | Executive Director                |                       |

|   |   |                  |                                   |                                 |
|---|---|------------------|-----------------------------------|---------------------------------|
|   |   |                  | Finance                           |                                 |
| 4 | Risk Management Quarterly Update  | <b>July</b>      | Assistant Director – Finance      |                                 |
| 5 | Internal Audit Quarterly Update   | <b>July</b>      | Audit Manager                     |                                 |
| 6 | Update on Risk Based Verification Policy                                | <b>July</b>      | Assistant Director, Finance       |                                 |
|   | Private meeting of Internal and External Auditors and Committee members | <b>July</b>      |                                   |                                 |
|   |   |                  |                                   |                                 |
| 1 | Audit Findings  | <b>September</b> | Grant Thornton                    |                                 |
| 2 | Management Representation Letter  | <b>September</b> | Grant Thornton                    |                                 |
| 3 | Fee Increase Letter   | <b>September</b> | Grant Thornton                    | <i>Moved from March meeting</i> |
| 4 | Annual Treasury Outturn   | <b>September</b> | Executive Director Finance        |                                 |
| 5 | Local Government Ombudsman’s Annual Review and Report 2020/21           | <b>September</b> | Assistant Director – People       |                                 |
| 6 | Modern Slavery and Human Trafficking Statement                          | <b>September</b> | Assistant Director – Partnerships |                                 |

| 1 | Annual Audit Letter   | <b>October</b>  | Grant Thornton               |                                 |
|---|---|-----------------|------------------------------|---------------------------------|
| 2 | Internal Audit Quarterly Update   | <b>October</b>  | Audit Manager                |                                 |
| 3 | Risk Management Quarterly Update  | <b>October</b>  | Assistant Director – Finance |                                 |
| 4 | Review of the Constitution & Scheme of Delegation                         | <b>October</b>  | Monitoring Officer           |                                 |
| 5 | Councillor Code of Conduct – following finalisation of LGA new Model Code | <b>October</b>  | Monitoring Officer           |                                 |
| 6 | Counter Fraud Update  | <b>October</b>  | Audit Manager                | <i>Annual report in October</i> |
|   | Private meeting of Internal and External Auditors and Committee members   | <b>October</b>  |                              |                                 |
|   |   |                 |                              |                                 |
| 1 | Audit & Governance Committee update                                       | <b>February</b> | Grant Thornton               |                                 |
| 2 | Fee Increase Letter   | <b>February</b> | Grant Thornton               |                                 |
| 3 | Internal Audit Quarterly Update   | <b>February</b> | Audit Manager                |                                 |
| 4 | Risk Management Quarterly Update  | <b>February</b> | Assistant Director – Finance |                                 |

|   |   |                 |                               |  |
|---|---|-----------------|-------------------------------|--|
| 5 | Audit Committee Effectiveness   | <b>February</b> | Audit Manager                 |  |
|   |   |                 |                               |  |
| 1 | Audit and Governance Committee update   | <b>March</b>    | Grant Thornton                |  |
| 2 | Audit Plan  | <b>March</b>    | Grant Thornton                |  |
| 3 | Informing the Audit Risk Assessment   | <b>March</b>    | Grant Thornton                |  |
| 4 | Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report | <b>March</b>    | Executive Director Finance    |  |
| 5 | Final Accounts – Accounting Policies and Action Plan  | <b>March</b>    | Assistant Director of Finance |  |
| 6 | Internal Audit Charter and Audit Plan   | <b>March</b>    | Audit Manager                 |  |
| 7 | Review of the Constitution and Scheme of Delegation for Officers  | <b>March</b>    | Monitoring Officer            |  |
| 8 | Review of Financial Guidance  | <b>March</b>    | Assistant Director Finance    |  |
| 9 | Annual Report of the Chair of A&G   | <b>March</b>    | Audit Manager /               |  |

|  |   |              |       |  |
|--|---|--------------|-------|--|
|  |   |              | Chair |  |
|  | Private meeting of Internal and External Auditors and Committee members | <b>March</b> |       |  |

**The Portfolio Holder for Finance and Customer Services**