

PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2021-2022

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	RIPA Annual Report & Review of the RIPA Policy	June	Assistant Director - Partnerships	
3	Internal Audit Annual and Quarterly Update	June	Principal Auditor	
4	Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme	June	Principal Auditor	
5	Annual Governance Statement and Code of Corporate Governance	June		
		2 Provisional dates currently requested– one for July & one for September		
1	Audit and Governance Committee update	July /	Grant Thornton	

		September		
2	Fee Increase Letter	July / September	Grant Thornton	<i>Moved from March meeting</i>
3	Audit Findings Report	July / September	Grant Thornton	
4	Management Representation Letter	July / September	Grant Thornton	
5	Annual Statement of Accounts	July / September	Executive Director Finance	
6	Risk Management Quarterly Update	July / September	Assistant Director – Finance	
7	Internal Audit Annual Report and Update	July / September	Head of Audit & Governance	
8	Modern Slavery and Human Trafficking Statement	July / September	Assistant Director - Partnerships	
9	Update on Risk Based Verification Policy	July / September	Assistant Director, Finance	
10	Review of the Constitution & Scheme of Delegation	June or July / Sept	Monitoring Officer	

11	Councillor Code of Conduct – following finalisation of LGA new Model Code	June or July / Sept	Monitoring Officer	
1	Audit & Governance Committee update	October	Grant Thornton	
2	Annual Audit Letter	October	Grant Thornton	
3	Internal Audit Quarterly Update	October	Head of Audit & Governance	
4	Risk Management Quarterly Update	October	Assistant Director – Finance	
5	Annual Treasury Outturn	October	Executive Director Finance	
6	Local Government Ombudsman’s Annual Review and Report 2020/21	October	Assistant Director - People	
7	Counter Fraud Update	October	Head of Audit & Governance	<i>Annual report in October</i>
	Private meeting of Internal and External Auditors and Committee members	October		
1	Audit & Governance Committee update	February	Grant Thornton	

2	Fee Increase Letter	February	Grant Thornton	
3	Internal Audit Quarterly Update	February	Head of Audit & Governance	
4	Risk Management Quarterly Update	February	Assistant Director – Finance	
5	Audit Committee Effectiveness	February	Head of Audit & Governance	
1	Audit and Governance Committee update	March	Grant Thornton	
2	Audit Plan	March	Grant Thornton	
3	Informing the Audit Risk Assessment	March	Grant Thornton	
4	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	March	Executive Director Finance	
5	Final Accounts – Accounting Policies and Action Plan	March	Assistant Director of Finance	

6	Internal Audit Charter and Audit Plan	March	Head of Audit & Governance	
7	Review of the Constitution and Scheme of Delegation for Officers	March	Monitoring Officer	
8	Review of Financial Guidance	March	Assistant Director Finance	
9	Annual Report of the Chair of A&G	March	Head of Audit & Governance / Chair	
	Private meeting of Internal and External Auditors and Committee members			

The Portfolio Holder for Assets and Finance