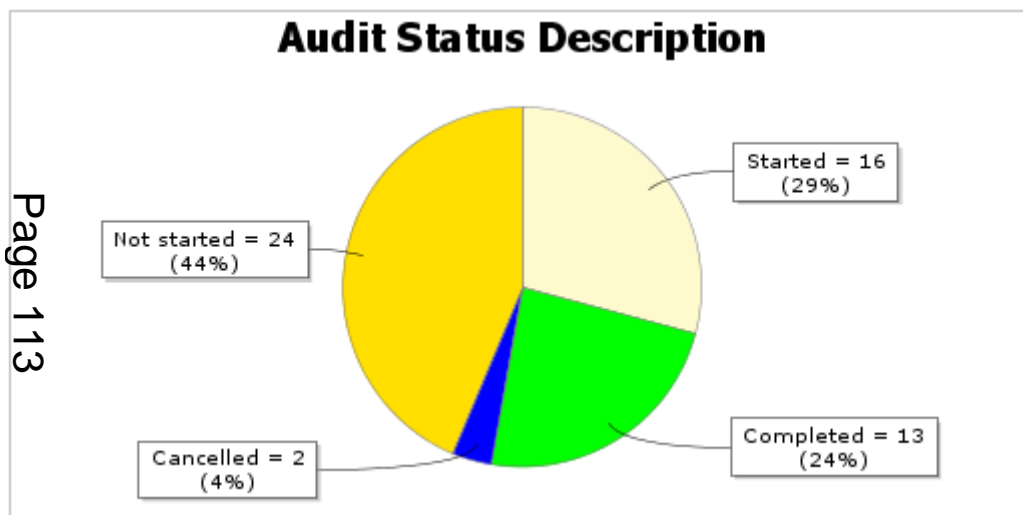


# Internal Audit Performance Report 2018/19

















## Quarter 2





















**Report Type:** Audit File Report  
**Report Author:** Angela Struthers  
**Generated on:** 02 October 2018




















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Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Taxi Licences	Directorate – Growth & Regeneration	✓	Started	Risk based review	
Joint Service Provision/ SLA's	Corporate	●	Not started	System based review	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Performance Management	Directorate – People		Started	System based review	
IT Governance	Directorate – People		Started	Information Technology	
Main Accounting & Budgetary Control	Directorate – Finance		Not started	Main financial system – interim	
Treasury Management QTR 4 2017/18	Directorate – Finance		Completed	Main financial system – interim	
Treasury Management QTR 1 2018/19	Directorate – Finance		Completed	Main financial system – interim	
Treasury Management QTR 2 2018/19	Directorate – Finance		Started	Main financial system – interim	
Treasury Management QTR 3 2018/19	Directorate – Finance		Not started	Main financial system – interim	
Debtors & Procurement	Directorate – Finance		Not started	Main financial system – full	
Debtors	Directorate – Finance		Not started	Main financial system – interim	
Council Tax	Directorate – Finance		Started	Main financial system – interim	
NNDR	Directorate – Finance		Not started	Main financial system – interim	
Payroll	Directorate – People		Not started	Main financial system – interim	
Bank Reconciliation & Cash Collection	Directorate – Finance		Started	Main financial system – interim	
Housing & Council Tax Benefits	Directorate – Finance		Not started	Main financial system – interim	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Capital Strategy & Programme Management	Directorate – Finance		Not started	Main financial system – interim	
Housing Rents	Directorate – Neighbourhoods		Started	Main financial system – interim	
Property Contracts QTR 1	Directorate – Assets		Completed	Main financial system – interim	
Property Contracts QTR 2	Directorate – Assets		Completed	Main financial system – interim	
Property Contracts QTR 3	Directorate – Assets		Not started	Main financial system – interim	
Property Contracts QTR 4	Directorate – Assets		Not started	Main financial system – interim	
Housing Repairs QTR 1	Directorate – Assets		Completed	Main financial system – interim	
Housing Repairs QTR 2	Directorate – Assets		Completed	Main financial system – interim	
Housing Repairs QTR 3	Directorate – Assets		Not started	Main financial system – interim	
Housing Repairs QTR 4	Directorate – Assets		Not started	Main financial system – interim	
Organisation Transformation	Corporate		Not started	System based review	
Health & Safety	Directorate – Ops & Leisure		Not started	System based review	
Community Safety	Directorate – Partnerships		Cancelled	Risk based review	
CCTV	Directorate – Neighbourhoods		Completed	Risk based review	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Training & Development	Directorate – People		Started	Risk based review	
Housing Regeneration & Affordable Housing	Directorate – Partnerships		Completed	Risk based review	
Food Safety	Directorate – Growth & Regeneration		Not started	Risk based review	
Tourism & Town Centre	Directorate – Growth & Regeneration		Completed	Risk based review	
PR & Communications	Directorate – People		Started	System based review	
Sheltered Housing	Directorate – Neighbourhoods		Started	Risk based review	
Outdoor Events	Directorate – Ops & Leisure		Started	Risk based review	
Safeguarding	Directorate – People		Completed	System based review	
Parks & Open Spaces, Nature Reserves & Sports Pitches	Directorate – Ops & Leisure		Started	System based review	
Community Leisure	Directorate – Ops & Leisure		Cancelled	Risk based review	
Committee Decisions & Reporting	Corporate		Started	System based review	
Mobile Telephones	Directorate – People		Not started	System based review	
Licences	Directorate – Growth & Regeneration		Started	System based review	
Pentana Application Review	Technology & Corporate Programmes		Completed	Information Technology	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Academy Application Review	Directorate – People		Not started	Information Technology	
Self Service Technology	Directorate – People		Not started	Information Technology	
GDPR	Directorate – People		Not started	Information Technology	
Cloud	Directorate – People		Not started	Information Technology	
Social Media	Directorate – People		Started	Information Technology	
I Trent Application Review	Directorate – People		Not started	Information Technology	
Pension Contributions	Directorate – People		Completed	Compliance	
DFG	Directorate – Assets		Completed	Compliance	
Commercialism	Corporate		Started	Consultancy	
Commercial & Industrial Properties	Directorate – Growth & Regeneration		Not started		

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