

PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2018 - 2019

| | Report | Committee Date | Report Of | Comments |
|----|------------------------------------------------------------------------------------|-----------------------|-------------------------------------------------|-----------------------|
| 1 | Role of the Audit Committee | June | Grant Thornton | Presentation/training |
| 2 | Audit and Governance Committee Update | June | Grant Thornton | |
| 3 | Fee Letter | June | Grant Thornton | |
| 4 | Review of the Constitution and Scheme of Delegation for Officers | June | Solicitor to the Council and Monitoring Officer | |
| 5 | RIPA Quarterly Report | June | Solicitor to the Council and Monitoring Officer | |
| 6 | Members/Standards x 2 | June | Solicitor to the Council and Monitoring Officer | |
| 7 | Internal Audit Annual and Quarterly Update | June | Head of Internal Audit | |
| 8 | Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme | June | Head of Internal Audit | |
| 9 | Financial Guidance | June | Head of Internal Audit | |
| 10 | Annual Governance Statement and Code of Corporate Governance | June | Head of Internal Audit | |

Page 155

| | | | | |
|---|------------------------------------------------------------------|------------------|----------------------------------------------------|--|
| 1 | Audit Findings Report | July | Grant Thornton | |
| 2 | Management Representation Letter | July | Grant Thornton | |
| 3 | Annual Statement of Accounts | July | Executive Director Corporate Services | |
| 4 | Annual Treasury Outturn | July | Executive Director Corporate Services | |
| 5 | RIPA Quarterly Report | July | Solicitor to the Council and Monitoring Officer | |
| 6 | Risk Management Quarterly Update | July | Head of Internal Audit | |
| 7 | Counter and Corruption Fraud Update | July | Head of Internal Audit | |
| 8 | Internal Audit Customer Satisfaction Survey | July | Head of Internal Audit | |
| 9 | Internal Audit Quarterly Update | July | Head of Internal Audit | |
| 1 | Local Government Ombudsman's Annual Review and Report 2016/17 | September | Solicitor to the Council and Monitoring Officer | |
| 1 | RIPA Quarterly Update | October | Solicitor to the Council and Monitoring Officer | |
| 2 | Internal Audit Quarterly Update | October | Head of Internal Audit | |
| 3 | Risk Management Quarterly Update | October | Head of Internal Audit | |

| | | | | |
|---|----------------------------------------------------------------------------------------------------------------------------------|-----------------|-------------------------------------------------|--|
| 4 | Annual Governance Statement Update | October | Head of Internal Audit | |
| 1 | Audit Report on Certification Work | February | Grant Thornton | |
| 2 | Audit Progress Report | February | Grant Thornton | |
| 3 | Annual Audit Letter | February | Grant Thornton | |
| 4 | RIPA Quarterly Report | February | Monitoring Officer Solicitor to the Council and | |
| 5 | Internal Audit Quarterly Update | February | Head of Internal Audit | |
| 6 | Risk Management Quarterly Update | February | Head of Internal Audit | |
| 7 | Counter Fraud Update | February | Head of Internal Audit | |
| 1 | Draft Audit Plan | March | Grant Thornton | |
| 2 | Draft Certification Work Plan | March | Grant Thornton | |
| 3 | Audit Committee Update | March | Grant Thornton | |
| 4 | Auditing Standards | March | Grant Thornton | |
| 5 | Informing the Audit Risk Assessment | March | Grant Thornton | |
| 6 | Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement | March | Executive Director Corporate Services | |

| | | | | |
|----|------------------------------------------------------------------------------------------------------|--------------|------------------------|--|
| | and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report | | | |
| 7 | Final Accounts – Action Plan | March | Director of Finance | |
| 8 | Internal Audit Charter and Audit Plan | March | Head of Internal Audit | |
| 9 | Audit and Governance Committee Self-Assessment | March | Head of Internal Audit | |
| 10 | Review of Financial Guidance | March | Head of Internal Audit | |

The Portfolio Holder for Assets and Finance