

PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	Audit and Governance Committee Update	June	Grant Thornton	
3	Fee Letter	June	Grant Thornton	
4	Review of the Constitution and Scheme of Delegation for Officers	June	Solicitor to the Council and Monitoring Officer	
5	Internal Audit Annual and Quarterly Update	June	Head of Internal Audit	
6	Quality Assurance & Improvement Programme	June	Head of Internal Audit	
7	Review of the Effectiveness of Internal Control Environment	June	Head of Internal Audit	To include the review of the effectiveness of internal audit, compliance with PSIAS, roles of the CFO and HIAS
8	Annual Governance Statement and Code of Corporate Governance	June	Head of Internal Audit	
1	Audit Findings Report	July	Grant Thornton	

2	Management Representation Letter	July	Grant Thornton	
3	Annual Statement of Accounts	July	Executive Director Corporate Services	
4	RIPA Quarterly Report	July	Solicitor to the Council and Monitoring Officer	
5	Risk Management Quarterly Update	July	Head of Internal Audit	
6	Counter & Corruption Fraud Update	July	Head of Internal Audit	
7	Internal Audit customer satisfaction survey	July	Head of Internal Audit	
1	Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report 2016/17	September	Executive Director Corporate Services	
2	RIPA Quarterly Report	September	Solicitor to the Council and Monitoring Officer	
3	Local Government Ombudsman's Annual Review and Report 2015/16	September	Solicitor to the Council and Monitoring Officer	
7	Internal Audit Quarterly Update	September	Head of Internal Audit	
8	Risk Management Quarterly Update	September	Head of Internal Audit	
1	Members/Standards	October	Solicitor to the Council and Monitoring Officer	

2	Anti Money Laundering Policy	October	Solicitor to the Council and Monitoring Officer	
3	Internal Audit Quarterly Update	October	Head of Internal Audit	
4	Risk Management Quarterly Update	October	Head of Internal Audit	
5	Annual Governance Statement Update	October	Head of Internal Audit	
1	Audit Report on Certification Work	February	Grant Thornton	
2	Audit Progress Report	February	Grant Thornton	
3	Annual Audit Letter	February	Grant Thornton	
4	RIPA Quarterly Report	February	Monitoring Officer Solicitor to the Council and	
5	Internal Audit Quarterly Update	February	Head of Internal Audit	
6	Risk Management Quarterly Update	February	Head of Internal Audit	
7	Counter Fraud Update	February	Head of Internal Audit	
1	Draft Audit Plan	March	Grant Thornton	
2	Draft Certification Work Plan	March	Grant Thornton	
3	Audit Committee Update	March	Grant Thornton	

4	Auditing Standards	March	Grant Thornton	
5	Informing the Audit Risk Assessment	March	Grant Thornton	
6	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	March	Executive Director Corporate Services	
7	Final Accounts – Action Plan	March	Director of Finance	
8	RIPA Quarterly Report	March	Monitoring Officer Solicitor to the Council and	
9	Internal Audit Charter and Audit Plan	March	Head of Internal Audit	
10	Audit and Governance Committee Self Assessment	March	Head of Internal Audit	
11	Review of Financial Guidance	March	Head of Internal Audit	