

INTERNAL AUDIT PLAN - 2017/18**AREA OR SUBJECT OF REVIEW****Risk Rating****Audit type****KEY FINANCIAL SYSTEMS**

Main accounting & budgetary control	Medium	Key Financial System - full	12
Treasury management	Medium	Key Financial System - interim	5
Creditors & procurement	Medium	Key Financial System - interim	5
Debtors	Medium	Key Financial System - full	12
Council tax	Medium	Key Financial System - interim	5
NNDR	Medium	Key Financial System - interim	5
Payroll	Medium	Key Financial System - interim	5
Bank rec & cash	Medium	Key Financial System - interim	5
Housing & council tax benefits	Medium	Key Financial System - interim	5
Capital strategy & programme management	Medium	Key Financial System - full	12
Housing rents	Medium	Key Financial System - interim	5
Capital contracts	High	Key Financial System - annual testing	12
Housing responsive repairs	High	Key Financial System - annual testing	20

STRATEGIC & OPERATIONAL RISKS

Insurance	Medium	System based review	6
Taxi Licences	Medium	System based review	7
Housing Services	Medium	risk based review	7
Scheme of Delegation	Medium	risk based review	7
legal compliance	Medium	System based review	7
VAT	Medium	risk based review	7
corporate policy management	Medium	System based review	7
performance management	Medium	System based review	7
time recording & absence management	Medium	risk based review	8

Street Wardens	Medium	risk based review	7
Equalities	Medium	System based review	7
Joint service provisions/SLA's	Medium	System based review	8
Safeguarding	Medium	System based review	7
Emergency Planning	Medium	System based review	8

ICT AUDIT REVIEWS

Network Controls	High	IT Audit	7
Efin application review	Medium	IT Audit	8
Websites	High	IT Audit	8
Orchard application review	Medium	IT Audit	8
CX Air application review	Medium	IT Audit	7
IT Governance	High	IT Audit	8

CONSULTANCY

Community development - critical friend role	Medium		6
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IMPLEMENTATION REVIEWS

30

ANNUAL GOVERNANCE & ASSURANCE FRAMEWORK

Annual governance statement	High	Assurance work	13
Annual audit opinion	High	Assurance work	3
Annual audit planning & review	High	Assurance work	6
Internal audit quality assurance & improvement programme	High	Assurance work	8
Review of financial guidance	High	Assurance work	2

REVIEW WORK COMPLETED ON BEHALF OF STAFFORDSHIRE COUNTY COUNCIL

Pension contributions	Medium	Substantive testing	7
DFG's	Medium	Substantive testing	5

CORPORATE SUPPORT

Governance training			5
Audit & governance committee support			15
External audit liaison			2
Contingency			17

TOTAL AUDIT DAYS **373**

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Approvals

Name
Audit & Governance Committee - Chair
CMT - Chief Executive
Head of Internal Audit Services

Signature	

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