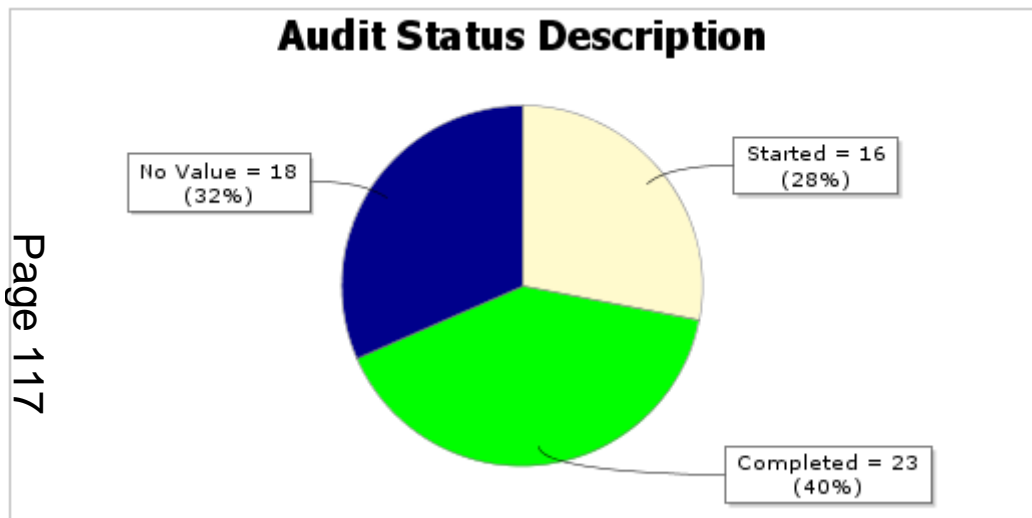


Internal Audit Performance Report 2016/17 Quarter3

Report Type: Audit File Report
Report Author: Angela Struthers
Generated on: 03 January 2017



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Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
NNDR	Finance	✓	Started	Main financial system – full
Bank Reconciliation & Cash Collection	Finance	✓	Completed	Main financial system – full
Housing Repairs QTR 4	Housing & Health	✓	Started	Main financial system – interim
Treasury Management QTR 4 2015/16	Finance	✓	Completed	Main financial system – interim

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
Community Development	Communities, Planning & Partnerships			System based review
IT Governance	Technology & Corporate Programmes	✓	Started	Information Technology
DIP Application Review	Technology & Corporate Programmes	✓	Completed	Information Technology
Corporate Business Continuity	Technology & Corporate Programmes	✓	Completed	System based review
Telephony Project Implementation Review	Technology & Corporate Programmes			Information Technology
Us Policy	Transformation & Corporate Performance			System based review
Castle	Communities, Planning & Partnerships	✓	Completed	System based review
Housing Rents	Housing & Health			Main financial system – full
Payroll	Transformation & Corporate Performance			Main financial system – full
Creditors & Procurement	Finance	✓	Started	Main financial system – interim
Housing Repairs QTR 1	Housing & Health	✓	Completed	Main financial system – interim
Housing Repairs QTR 2	Housing & Health	✓	Completed	Main financial system – interim
Main Accounting & Budgetary Control	Finance			Main financial system – interim
Property Contracts QTR 1	Assets & Environment	✓	Completed	Main financial system – interim
Council Tax	Finance	✓	Started	Main financial system – full
Capital Strategy & Programme	Finance			Main financial system – interim

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
Management				
Debtors	Finance			Main financial system – interim
Housing & Council Tax Benefits	Finance			Main financial system – interim
Housing Repairs QTR 3	Housing & Health	✓	Started	Main financial system – interim
Property Contracts QTR 4	Assets & Environment	✓	Started	Main financial system – interim
Property Contracts QTR 2	Assets & Environment	✓	Completed	Main financial system – interim
Property Contracts QTR 3	Assets & Environment	✓	Started	Main financial system – interim
Treasury Management QTR 3 2016/17	Finance			Main financial system – interim
Treasury Management QTR 1 2016/17	Finance	✓	Completed	Main financial system – interim
Treasury Management QTR 2 2016/17	Finance	✓	Completed	Main financial system – interim
Economic Development	Communities, Planning & Partnerships			Risk based review
Corporate Policy Management	Corporate	✓	Started	System based review
Health & Safety	Transformation & Corporate Performance	✓	Completed	System based review
Building Security	Transformation & Corporate Performance	✓	Completed	System based review
Income Management	Finance	✓	Completed	System based review
IT Physical & Environmental Controls	Technology & Corporate Programmes	✓	Completed	Information Technology
Freedom of Information	Technology & Corporate Programmes	✓	Completed	Information Technology

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
Mobile Computing/BYOD	Technology & Corporate Programmes			Information Technology
IT Testing Thematic Review	Technology & Corporate Programmes			Information Technology
Customer Services Programme Management	Transformation & Corporate Performance	✓	Started	System based review
Gifts & Hospitality	Solicitor & Monitoring Officer	✓	Completed	System based review
Standards & Declarations	Solicitor & Monitoring Officer	✓	Completed	Risk based review
Travel & Subsistence	Transformation & Corporate Performance	✓	Completed	System based review
Page 110 Tenant Participation	Housing & Health	✓	Completed	Risk based review
Scheme of Delegation	Solicitor & Monitoring Officer			System based review
Page 120 Land Charges	Solicitor & Monitoring Officer	✓	Started	System based review
Risk Management	Corporate	✓	Started	Risk based review
Housing Business Support	Housing & Health			Risk based review
Street Scene	Assets & Environment			Risk based review
Housing Services	Housing & Health			Risk Workshop
Partnerships Governance	Communities, Planning & Partnerships			System based review
Customer Services Transformation	Transformation & Corporate Performance	✓	Started	Consultancy
Commercial & Industrial Properties	Assets & Environment	✓	Started	Consultancy
Municipal Charities	Corporate	✓	Completed	Transactional

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title
Pension Contributions	Transformation & Corporate Performance	✓	Completed	Compliance
Safe Security		✓	Completed	Additional Consultancy
Review of Culture/ Ethics	Corporate	✓	Started	System based review
Disabled Facilities Grants	Assets & Environment	✓	Started	Additional System Based Review

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