

PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE –2019-2020

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	Audit Committee update	June	Grant Thornton	Regular item
3	Fee Letter	June	Grant Thornton	
4	RIPA Quarterly Report	June	Assistant Director - Partnerships	
5	Internal Audit Customer Satisfaction Survey	June	Head of Audit & Governance	
6	Internal Audit Annual and Quarterly Update	June	Head of Audit & Governance	
7	Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme	June	Head of Audit & Governance	
8	Annual Governance Statement and Code of Corporate Governance	June	Head of Audit & Governance	
9	Counter Fraud Update	June	Head of Audit & Governance	
10	Audit & Governance Committee – Self Assessment – for information only	June	Head of Audit & Governance	

1	Audit Committee update	July	Grant Thornton	Regular item
2	Audit Findings Report	July	Grant Thornton	
3	Management Representation Letter	July	Grant Thornton	
4	Annual Statement of Accounts	July	Executive Director Finance	
5	RIPA Quarterly Report	July	Assistant Director - Partnerships	
6	Risk Management Quarterly Update	July	Assistant Director – Finance	
7	Counter and Corruption Fraud Update	July	Head of Audit & Governance	
8	Internal Audit Quarterly Update	July	Head of Audit & Governance	
9	Internal Audit Charter	July	Head of Audit & Governance	
10	Modern Slavery and Human Trafficking Statement	July	Assistant Director - Partnerships	
11	Review of the Constitution and Scheme of Delegation for Officers	July	Head of Audit & Governance	

1	Audit Committee update	October	Grant Thornton	Regular item
2	Annual Audit Letter	October?	Grant Thornton	
3	RIPA Quarterly Update	October	Assistant Director - Partnerships	
4	Internal Audit Quarterly Update	October	Head of Audit & Governance	
5	Risk Management Quarterly Update	October	Assistant Director – Finance	
6	Annual Treasury Outturn	October	Executive Director Finance	
7	Local Government Ombudsman’s Annual Review and Report 2018/19	October	Assistant Director - People	
8	Counter Fraud Update	October	Head of Audit & Governance	
9	Review of Financial Guidance	October	Head of Audit & Governance	
10	Anti-Money Laundering Policy	October	Head of Audit & Governance	
1	Audit Committee update	February	Grant Thornton	Regular item
2	Audit Report on Certification Work	February	Grant Thornton	

3	Audit Plan	February	Grant Thornton	
4	RIPA Quarterly Report	February	Assistant Director – Partnerships	
5	Internal Audit Quarterly Update	February	Head of Audit & Governance	
6	Risk Management Quarterly Update	February	Assistant Director – Finance	
1	Audit Committee update	March	Grant Thornton	Regular item
2	Auditing Standards	March	Grant Thornton	
3	Informing the Audit Risk Assessment	March	Grant Thornton	
4	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	March	Executive Director Finance	
5	Final Accounts – Action Plan	March	Assistant Director of Finance	
6	Risk Based Verification – exempt item	March	Assistant Director of Finance	
8	Internal Audit Charter and Audit Plan	March	Head of Audit & Governance	

9	Audit and Governance Committee Self-Assessment	March	Head of Audit & Governance	
10	Review of Financial Guidance	March	Head of Audit & Governance	
11	Anti-Money Laundering Policy	March	Head of Audit & Governance	

The Portfolio Holder for Assets and Finance