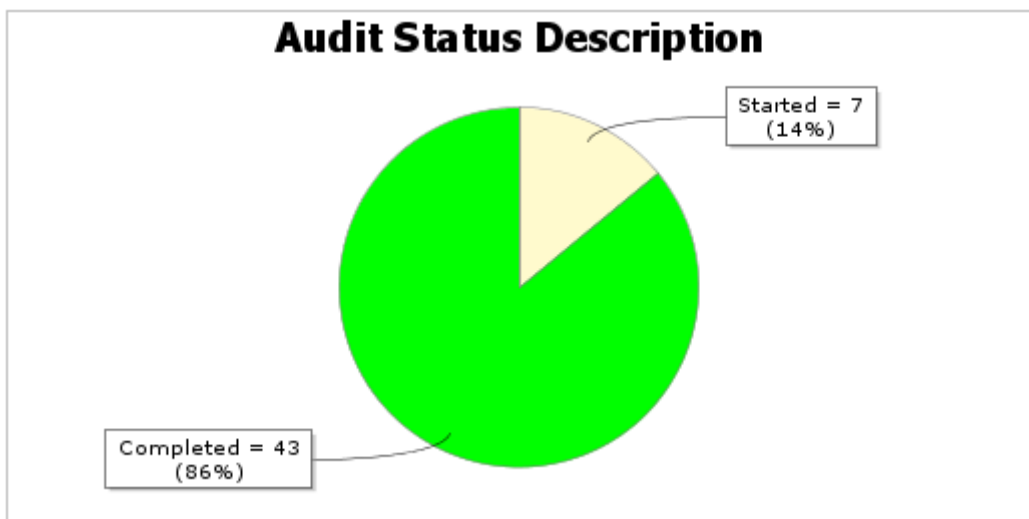


Internal Audit Performance Report 2018/19

Report Type: Audit File Report

Report Author: Angela Struthers

Generated on: 10 April 2019



Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
Taxi Licences	Directorate – Growth & Regeneration	✓	Completed	Risk based review
Joint Service Provision/ SLA's	Corporate	✓	Started	System based review
Performance Management	Directorate – People	✓	Completed	System based review
IT Governance	Directorate – People	✓	Completed	Information Technology

Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
Main Accounting & Budgetary Control	Directorate – Finance	✓	Completed	Main financial system – interim
Treasury Management QTR 4 2017/18	Directorate – Finance	✓	Completed	Main financial system – interim
Treasury Management QTR 1 2018/19	Directorate – Finance	✓	Completed	Main financial system – interim
Treasury Management QTR 2 2018/19	Directorate – Finance	✓	Completed	Main financial system – interim
Treasury Management QTR 3 2018/19	Directorate – Finance	✓	Completed	Main financial system – interim
Creditors & Procurement	Directorate – Finance	✓	Started	Main financial system – full
Debtors	Directorate – Finance	✓	Completed	Main financial system – interim
Council Tax	Directorate – Finance	✓	Completed	Main financial system – interim
NNDR	Directorate – Finance	✓	Completed	Main financial system – interim
Payroll	Directorate – People	✓	Completed	Main financial system – interim
Bank Reconciliation & Cash Collection	Directorate – Finance	✓	Completed	Main financial system – interim
Housing & Council Tax Benefits	Directorate – Finance	✓	Completed	Main financial system – interim
Capital Strategy & Programme Management	Directorate – Finance	✓	Completed	Main financial system – interim
Housing Rents	Directorate – Neighbourhoods	✓	Completed	Main financial system – interim
Property Contracts QTR 1	Directorate – Assets	✓	Completed	Main financial system – interim
Property Contracts QTR 2	Directorate – Assets	✓	Completed	Main financial system – interim
Property Contracts QTR 3	Directorate – Assets	✓	Completed	Main financial system – interim

Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
Property Contracts QTR 4	Directorate – Assets	✓	Started	Main financial system – interim
Housing Repairs QTR 1	Directorate – Assets	✓	Completed	Main financial system – interim
Housing Repairs QTR 2	Directorate – Assets	✓	Completed	Main financial system – interim
Housing Repairs QTR 3	Directorate – Assets	✓	Completed	Main financial system – interim
Housing Repairs QTR 4	Directorate – Assets	✓	Started	Main financial system – interim
Health & Safety	Directorate – Ops & Leisure	✓	Started	System based review
CCTV	Directorate – Neighbourhoods	✓	Completed	Risk based review
Training & Development	Directorate – People	✓	Completed	Risk based review
Housing Regeneration & Affordable Housing	Directorate – Partnerships	✓	Completed	Risk based review
Food Safety	Directorate – Growth & Regeneration	✓	Completed	Risk based review
Tourism & Town Centre	Directorate – Growth & Regeneration	✓	Completed	Risk based review
PR & Communications	Directorate – People	✓	Completed	System based review
Sheltered Housing	Directorate – Neighbourhoods	✓	Completed	Risk based review
Outdoor Events	Directorate – Ops & Leisure	✓	Completed	Consultancy
TIC	Directorate – People	✓	Completed	System based review
Safeguarding	Directorate – Partnerships	✓	Completed	System based review
Parks & Open Spaces, Nature Reserves & Sports Pitches	Directorate – Ops & Leisure	✓	Completed	System based review
Committee Decisions & Reporting	Corporate	✓	Completed	System based review
Mobile Telephones	Directorate – People	✓	Completed	System based review

Title	Directorate Description	Audit Status Icon	Audit File Audit Status Description	Audit Assurance Type Title
Licences	Directorate – Growth & Regeneration	✓	Completed	System based review
Pentana Application Review	Technology & Corporate Programmes	✓	Completed	Information Technology
Academy Application Review	Directorate – People	✓	Completed	Information Technology
Self Service Technology	Directorate – People	✓	Started	Information Technology
GDPR	Directorate – People	✓	Started	Information Technology
Social Media	Directorate – People	✓	Completed	Information Technology
Pension Contributions	Directorate – People	✓	Completed	Compliance
DFG	Directorate – Assets	✓	Completed	Compliance
Commercialism	Corporate	✓	Completed	Consultancy
Commercial & Industrial Properties	Directorate – Growth & Regeneration	✓	Completed	Consultancy